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CDN' +

thin film transistors, insulated gate field effect transistors, and thin film diodes.

26. A fingerprint reading device according to claim 11; wherein the liquid crystal cell has a resolution of about 300 dpi and a pitch between adjacent pixels of about 50  $\mu\text{m}$ .

**ADDITIONAL FEES:**

A check in the amount of \$108.00 is enclosed to cover the cost of six additional claim in excess of twenty total. Should the check prove insufficient for any reason, authorization is hereby given to charge any such deficiency or additional fee to our Deposit Account No. 01-0268.

**REMARKS**

To place this application in better condition for complete action on the merits, original claims 1-6 have been amended in formal respects to improve the wording thereof. Submitted herewith is an attachment captioned "**Version With Markings to Show Changes Made**" including marked-up versions of claims 1-6 showing the changes made by this amendment.

In addition, the specification has been suitably revised to bring it into better conformance with U.S. practice. Applicants have submitted a substitute

specification consisting of the original specification re-typed in smooth form to incorporate revisions made thereto. Also enclosed is a marked-up version of the original specification showing the changes made thereto by this amendment. Applicants' undersigned attorney states that the substitute specification does not contain impermissible new matter.

To obtain a fuller scope of coverage, new claims 7-26 have been added. Adequate support for the subject matter recited in these claims may be found in the specification as originally filed.

Early and favorable action on the merits are most respectfully requested.

Respectfully submitted,

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE CLAIMS:

Claims 1-6 have been amended as follows:

1. (Amended) A fingerprint reading device comprising:

an active matrix liquid crystal cell having a front surface facing a user and a rear surface opposing the front surface;

an illumination source for projecting [to emit] a light from the [a] rear surface to the front surface [side] of the active matrix liquid crystal cell;

a light guiding plate [, provided] on the front [a] surface [side] of the active matrix liquid crystal cell for transmitting [, to transmit] the light projected from the rear surface of the active matrix liquid crystal cell and deflecting [side and deflect the] light entering from the front surface [the] toward a [one] side end surface of the light guiding plate;

light receiving means [receiver, provided] on the side end [of one side] surface of the light guiding plate for receiving [, to receive] the deflected light exiting from the [this one] side end surface of the light guiding plate; and

a drive circuit for driving [to make] the active matrix liquid crystal cell to pinpoint-irradiate a fingerprint

in contact with the light guiding plate by pinpointing with the light emitted from the illumination source and causing [making] the light receiving means pinpoint-receive the light reflected by the fingerprint to [, and] thereby obtain [obtaining] an image of the fingerprint.

2. (Amended) A fingerprint reading device according to claim 1; [,] wherein the active matrix liquid crystal cell comprises [serves also as] a liquid crystal cell of a liquid crystal display device.

3. (Amended) A fingerprint reading device according to claim 1; [,] wherein the active matrix liquid crystal cell is provided in superposition on at least a part of a [the] liquid crystal cell of a [the] liquid crystal display device.

4. (Amended) A fingerprint reading device according to [any one of] claim 1; [,] wherein the light receiving means comprises [receiver is] a line sensor provided along the [one] side end surface of the light guiding plate.

5. (Amended) A fingerprint reading device according to claim 1; [any one of claims 1,] wherein the light receiving means comprises [receiver is constructed of] a light receiving element and one of a lens or a lens array for converging on the light receiving element the light exiting from the [one] side end surface of the light guiding plate.

6. (Amended) A fingerprint reading method comprising the steps of:

- providing an active matrix liquid crystal cell;
- providing illuminating means for projecting [emitting the] light from a rear surface [side] of the active matrix liquid crystal cell;
- providing a light guiding plate on a front surface [side] of the active matrix liquid crystal cell opposite the rear surface for receiving [, which transmits] the light coming from the rear surface [side] and deflecting [deflects] the received light [coming from the surface side] toward a [one] side end surface of the light guiding plate;
- selectively pinpoint-irradiating a fingerprint touching a front [on the] surface of the light guiding plate through the active matrix liquid crystal cell with the light projected [coming] from the [a] rear surface [side] of the active matrix liquid crystal cell;
- receiving the light reflected by the fingerprint and exiting from the [one] side end surface of the light guiding plate; and
- using the received light reflected by the fingerprint to obtain [thus obtaining] an image of the fingerprint.



# FINGERPRINT READING DEVICE AND METHOD THEREOF

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to a fingerprint reading device  
a method thereof.

### 2. Related Background Art

(Some of systems) for authenticating an individual for the purpose of (keeping) confidentiality, etc. have (hitherto) used an ID number, a password and so on, however, (it was) not perfect to maintain (the) confidentiality because (the) ID number and (the) password might leak out. (While on the other hand, a system using a fingerprint reading device (is) proposed as that capable of (keeping the) confidentiality at a much higher level.

There (were hitherto) proposed electrostatic capacity type fingerprint reading devices (Japanese Patent Application Laid-Open No. Hei 4-231803, etc.) for detecting a fingerprint pattern (by) (utilizing) the fact that electrostatic capacities (occurred) between a group of electrodes arranged in a two-dimensional array and a finger touching (on) the electrode group through a dielectric substance layer differ corresponding to (the) ruggedness of the fingerprint. This type of fingerprint reading device has been utilized.

Further, for example, there (was) proposed an optical fingerprint reading device using an image sensor such as a CCD.

*for a long time*  
However, <sup>has been</sup> there <sup>should</sup> was not, however, a well-designed application about what sort of apparatus incorporates such <sup>a</sup> type of fingerprint reading device and how the fingerprint reading device is <sup>to be</sup> used, and very few fingerprint reading devices have <sup>thus</sup> been utilized. Moreover, in the case of the optical type, <sup>device</sup> there <sup>is</sup> arises a problem in <sup>the</sup> (which) the costs <sup>is</sup> are comparatively high and the structure is complicated.

### SUMMARY OF THE INVENTION

It is a primary object of the present invention, which was <sup>in view of the foregoing</sup> devised under such circumstances, to provide a finger print reading device and a method thereof that are capable of enhancing <sup>the</sup> versatility of the device (when actually used,) and reducing <sup>the</sup> costs of the device <sup>objects</sup>.

To accomplish the above <sup>objects</sup> object, according to a first aspect of the present invention, a fingerprint reading device is characterized in that it <sup>provided that</sup> comprises an active matrix liquid crystal cell, an illumination device for emitting (the) light from a rear surface (side) of the active matrix liquid crystal cell, a light guiding plate <sup>the front</sup> provided on a surface (side) of the active matrix liquid crystal cell, for transmitting the light coming from the rear surface (side) and deflecting the <sup>reflected</sup> light coming from the <sup>front and rear</sup> surface (side) toward <sup>the front</sup> one <sup>surface</sup> (side) end surface, a light receiving device, provided on (the side) of one (side) surface of the light guiding plate, for receiving the light exiting from <sup>the</sup> this one (side) surface, and a drive circuit for making the active matrix liquid crystal cell pinpoint-irradiate

a fingerprint by pinpointing with the light emitted from the illumination device and making the light receiving device pinpoint-receive the light reflected by the fingerprint, and thereby obtaining an image of the fingerprint.

According to the first aspect of the invention, the fingerprint is pinpoint-irradiated with the light through the active matrix liquid crystal cell, and the reflected light is received through the light guiding plate, whereby <sup>an</sup> ~~the~~ image of the fingerprint can be easily obtained.

According to a second aspect of the present invention, the fingerprint reading device according to the first aspect of the invention is characterized in that, the active matrix liquid crystal cell may serve also as a liquid crystal cell of a liquid crystal display device.

According to the second aspect of the invention, the pinpoint-irradiation of the light can be easily attained by making use of the liquid crystal cell of the liquid crystal display device.

According to a third aspect of the present invention, the fingerprint reading device according to the first aspect of the invention is characterized in that, the active matrix liquid crystal cell may be provided in superposition on at least a part of the liquid crystal cell of the liquid crystal display device.

According to the third aspect of the invention, the fingerprint reading sensor is provided integrally with the liquid crystal display



device, and a back light of the liquid crystal display device can be used as an illumination device.

According to a fourth aspect of the present invention, the fingerprint reading device according to any one of the first through third aspects of the invention is characterized in that, the light receiving device may be a line sensor provided along the one side end surface of the light guiding plate.

According to the fourth aspect of the invention, the beams of reflected light are detected sequentially by the line sensor, thereby obtaining the image of the fingerprint.

According to a fifth aspect of the present invention, the fingerprint reading device according to any one of the first through third aspects of the invention is characterized in that, the light receiving device may be constructed of a light receiving element and a lens or a lens array for converging on the light receiving element the light exiting from the one side end surface of the light guiding plate.

According to the fifth aspect of the invention, the beams of reflected light are received sequentially by the photodiode, thereby obtaining the image of the fingerprint.

According to a sixth aspect of the present invention, a fingerprint reading method is characterized in that it comprises the steps of providing an active matrix liquid crystal cell, providing an illumination device for emitting the light from a rear surface

side of the active matrix liquid crystal cell, providing a light guiding plate on a surface [side] of the active matrix liquid crystal cell, <sup>for transmitting</sup> which transmits the light coming from the rear surface [side] and deflects the light coming from the surface [side] toward one [side] end surface, selectively pinpoint-irradiating a fingerprint touching on the surface of the light guiding plate through the active matrix liquid cell with the light coming from a rear surface side of the active matrix liquid crystal cell, receiving the light reflected by the fingerprint and exiting from one side end surface of the light guiding plate, and thus obtaining an image of the fingerprint.

According to the sixth aspect of the invention, the fingerprint is pinpoint-irradiated with the light through the active matrix liquid crystal cell, and the reflected light is received through the light guiding plate, whereby the image of the fingerprint can be easily obtained.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1(a) is a sectional view showing a fingerprint reading device in one embodiment of the present invention; <sup>3rd</sup> FIG. 1(b) is a plan view thereof; and

~~FIGS.~~ 2(a) and 2(b) are views showing how detection electrodes of a fingerprint reading sensor of the fingerprint reading device in one embodiment of the present invention are arrayed, and how

a fingerprint is read.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

An embodiment of the present invention will hereinafter be described.

FIG. 1<sup>(a) and 1(b)</sup> schematically show a configuration of a fingerprint reading device in one embodiment.

As illustrated in FIG. 1<sup>(a) and 1(b)</sup>, a finger print reading device 10 has such a geometry that a light guiding plate 12 is disposed above the surface of an active matrix liquid crystal cell 11, a light receiving device 13 is so disposed to be flush with the light guiding plate 12 (on its) one end (side) in a side-by-side relation, and an illumination source 14 is disposed on the rear surface (side) of the liquid crystal cell 11.

Herein, the active matrix liquid crystal cell 11 (takes) a structure in which a first transparent substrate 21 is joined to a second transparent substrate 22 via a spacer 23, and a liquid crystal layer 24 is interposed therebetween. Further, transparent electrodes 25 and active elements 26 are arranged on the inner side of the first transparent substrate 21 in a two-dimensional array corresponding to pixels in a face-to-face relation with the liquid crystal layer 24, and an orientated film 27 is provided covering these electrodes 25 and elements 26. On the other hand, on the inner side of the second transparent substrate 22, a common transparent electrode

28 and ~~29~~ oriented film 29 covering the surface thereof are provided above the liquid crystal layer 24. Note that polarizing plates 31, 32 are provided on the outer side of the first and second transparent substrates 21, 22.

The light guiding plate 12 functions to transmit the light emitted from the illumination device 14 toward the <sup>front</sup> surface side but does not transmit the light coming from the <sup>front</sup> surface side toward the rear surface side and <sup>directs or</sup> guides this flux of light in a plane-direction, whereby the light exits from one side end surface. Further, the light receiving device 13 is constructed of a lens array 15 and a light receiving element 16 such as a photo diode. Note that the lens array 15 may be constructed as a single lens.

Further, the active element 26 is constructed of, e.g., a thin-film transistor (TFT) such as an electric field effect type insulating gate transistor. <sup>(IGFET)</sup> The above-mentioned active element 26 and transparent electrode 25 may be manufactured by a typical thin-film manufacturing process that has <sup>heretofore</sup> ~~hitherto~~ been ~~known as~~ <sup>used</sup> in the case of a liquid crystal display device. A standard resolution of the fingerprint reading device 10 is on the order of 300 dpi at a pitch of approximately 50  $\mu$ m. Note that the active element 26 is not limited to the thin-film transistor described above and may be a thin-film diode.

Next, steps of reading a fingerprint by use of the fingerprint reading device 10 will be briefly explained. FIG. 2(a) shows an

array of the transparent electrodes 25 and the active elements 26.  
FIG. 2(b) schematically shows how the fingerprint is read.

As shown in FIG. 2(a), a source electrode 41 of the transistor serving as the active element 26 is connected to the transparent electrode 25. A gate electrode 42 is connected to a scan line 51. A drain electrode 43 is connected to a signal line 52. A plurality of signal lines 52 are arranged so that the drain electrodes 43 of the respective transistors are connected in series in the X-axis direction. The signal lines 52 are connected to an X-axis driver 53. Further, a plurality of scan lines 51 are arranged so that the gate electrodes 42 of the respective transistors are connected in series in the Y-axis direction. The respective scan lines 51 are connected to the Y-axis driver 54.

Thus, the transparent electrodes 25 are <sup>actively addressed</sup> (in a state of active addressing) via the respective active elements 26. The transparent electrodes 25 are connected to <sup>Should be 1/x or 1/y</sup> x-pieces of signal lines 52 connected to an X-axis driver 53 and to <sup>is applied</sup> y-pieces of scan lines 51 connected to a Y-axis driver 54, and have addresses (1, 1) ~ (x, y).

When detecting the fingerprint, to start with, the X-axis driver 53 selects a predetermined signal line 52 and (applies) a predetermined voltage <sup>is applied</sup> to a gate electrode 42, in which state a voltage is applied to the active elements 26 arranged in one row through the scan lines 51. The active elements 26, which have been selected after the predetermined voltage has been applied to the gate

electrodes 42, are thereby selected one by one in sequence. The liquid crystal layer 24 in an area facing the selected transparent electrodes 25 for one pixel is oriented, and transmits the light emitted from the illumination device 14.

FIG. 2(b) shows a state at that time. To be more specific, only the selected transparent electrodes 25 for one pixel become transparent, and a finger 60 is thereby illuminated with the light from the illumination device 14. On the other hand, the light reflected by the finger 60 is deflected at a boundary on the rear surface side of the light guiding plate 12, and guided in the plane-direction. The thus guided light is received by the light receiving device 13 provided on one side surface in the side-by-side relation with the light guiding plate 12. This operation is executed with respect to all the pixels, whereby an image of the fingerprint can be obtained.

According to the fingerprint reading device 10 in the embodiment discussed above, the fingerprint can be comparatively easily detected by use of the active matrix liquid crystal cell 11 and the light guiding plate 12. Further, the thus constructed fingerprint reading device 10 takes the structure similar to the liquid crystal structure of the liquid crystal display device, and can be therefore relatively simply manufactured at a low cost. The fingerprint reading device 10 is also easily incorporated together with the liquid crystal panel into an electronic apparatus, etc.

in a way of being attached to the liquid crystal display panel. Namely, the fingerprint reading device described above may easily be incorporated into a variety of electronic apparatuses each having the liquid crystal display device such as various personal computers, mobile terminals, mobile telephones, personal handyphone systems (PHS) and display-attached cards.

A variety of forms can be conceived in terms of enhancing a handleability when incorporating the fingerprint reading device <sup>being</sup> <sup>integrally</sup> <sub>(thus integral)</sub> with the liquid crystal panel into the electronic apparatus and saving the installation space. That is, if a resolution of the liquid crystal cell of the liquid crystal display device is almost coincident with that of the fingerprint reading device, the liquid crystal cell and the illumination device and the like can be used completely in common, thereby making it feasible to reduce to a considerable degree the costs of the fingerprint reading device.

Moreover, the fingerprint reading device may be provided in superposition on a part or the whole of the display area of the liquid crystal panel. In this case, for instance, some of the components such as the illumination device, the polarizing plate and so on may be used in common, whereby the costs can be reduced and the installation space can be saved. The fingerprint reading sensor described above is manufactured separately from the thin-film manufacturing process of the liquid crystal panel but may also be

manufactured by the same thin-film manufacturing process. Note that the thus constructed fingerprint reading device may be disposed, without being limited to a specific position in the plane-direction of the liquid crystal display area, at a corner or central portion of the display area, and further the whole display area may also be utilized as the fingerprint reading sensor.

It is to be noted that the light receiving device is constructed of the lens array and the light receiving element in the embodiment discussed above, and may also be constructed as a line sensor provided extending along the whole of one side end surface of the light guiding plate. In this case, the light may be received per pixel as in the embodiment discussed above or received per row of pixels depending on a performance of the light guiding plate.

As discussed above, the present invention exhibits effects in which the image of the fingerprint can be read by use of the active matrix liquid crystal cell and the light guiding plate, and it is also possible to easily incorporate the fingerprint reading device into the liquid crystal display device, enhance the versatility when actually used, increase the productivity and reduce the costs.